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## IMMUNOLOGY

## Global and local envelope protein dynamics of hepatitis C virus determine broad antibody sensitivity

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Broad antibody sensitivity differences of hepatitis C virus (HCV) isolates and their ability to persist in the presence of neutralizing antibodies (NAbs) remain poorly understood. Here, we show that polymorphisms within glycoprotein E2, including hypervariable region 1 (HVR1) and antigenic site 412 (AS412), broadly affect NAb sensitivity by shifting global envelope protein conformation dynamics between theoretical “closed,” neutralization-resistant and “open,” neutralization-sensitive states. The conformational space of AS412 was skewed toward  $\beta$ -hairpin-like conformations in closed states, which also depended on HVR1, assigning function to these enigmatic E2 regions. Scavenger receptor class B, type I entry dependency of HCV was associated with NAb resistance and correlated perfectly with decreased virus propensity to interact with HCV co-receptor CD81, indicating that decreased NAb sensitivity resulted in a more complex entry pathway. This link between global E1/E2 states and functionally distinct AS412 conformations has important implications for targeting AS412 in rational HCV vaccine designs.

## INTRODUCTION

A vaccine against hepatitis C virus (HCV) is urgently needed (1) and should induce neutralizing antibodies (NAbs) against the HCV envelope proteins 1 (E1) and 2 (E2), which form the E1/E2 heterodimer on virus particles and mediate entry. E2 has been shown to interact with HCV entry co-receptors, including the tetraspanin CD81 for translocation to the cell tight junction, as well as with scavenger receptor class B, type I (SR-BI) (2). HCV NAb sensitivity might be governed by intrinsic molecular and structural features of E1/E2 (3, 4).

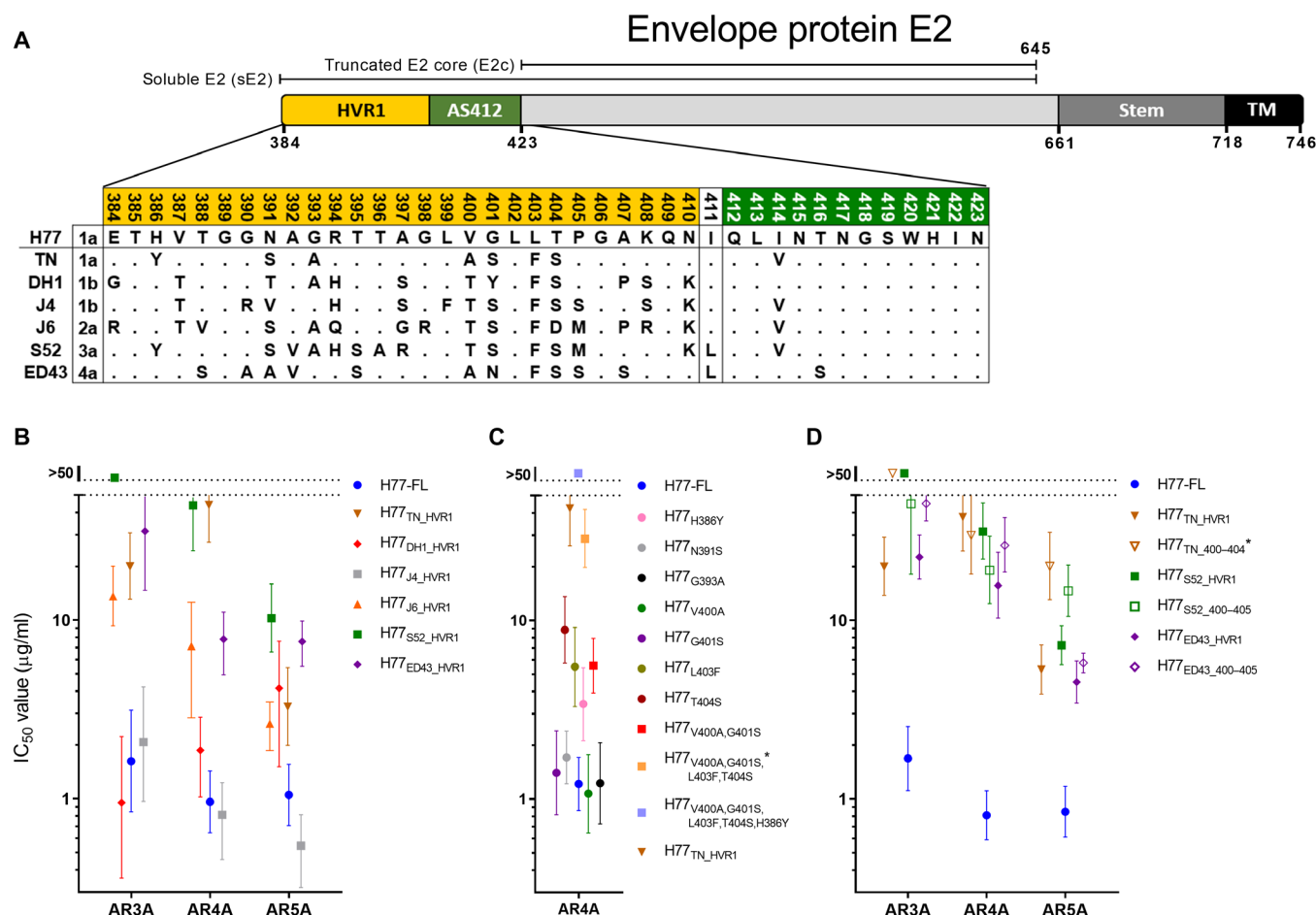
The N-terminal part of E2, termed hypervariable region 1 (HVR1; Fig. 1A), plays an important role in NAb evasion, and it was initially suggested to provide NAb protection by sterically occluding the CD81 binding site on E2 (5). However, a much wider breadth in protected epitopes was later described, making this hypothesis less likely (6). Most recently, we observed that E2 N-linked glycans do not modulate NAb sensitivity in the absence of HVR1, suggesting an indirect role in NAb protection (7). HVR1-mediated NAb protection has been validated in vivo (8), but the mechanism remains a conundrum in two parts. First, a majority of the 27 positions of HVR1 rapidly accumulates amino acid changes. This is believed to be due to positive selection from HVR1-specific antibodies (9), but it remains unclear how these changes affect its NAb-protective functions. Second, HVR1 sits immediately upstream of antigenic site 412 (AS412; Fig. 1A). While the AS412 sequence is highly conserved, it is extremely flexible and x-ray crystal structures of NAbs

bound to AS412 peptides have shown that it can adopt widely different conformations (from a  $\beta$ -hairpin to an extended conformation) (10). HCV E2 monomeric structures with various N- and C-terminal truncations have been solved, and these have either not included AS412 or yielded conflicting evidence on its orientation (11–14). Thus, a central question arises of how HVR1 overcomes extreme inherent sequence diversity and E1/E2 orientation restrictions, imposed by conformation-divergent AS412, to consistently protect such a wide range of NAb epitopes. The high sequence conservation of AS412 and the fact that it is a linear epitope enable many types of antigen mimics for vaccine development (15–17). Last, NAbs targeting the AS412  $\beta$ -hairpin conformation have shown efficacy in vivo (18), further increasing AS412 interest as a prime vaccine target. However, AS412 antibodies are rare in patients (19), and many groups have proposed that AS412 flexibility is a viral mechanism to curb development of effective NAbs in infected patients (4, 10).

Here, we use an in-depth reverse-genetics approach on cell culture-infectious HCV (HCVcc) with fully functional E1/E2 from multiple isolates and genotypes to define polymorphic positions within and outside of HVR1 that determine NAb sensitivity across diverse NAb epitopes. Temperature-dependent neutralization experiments with monoclonal antibodies (mAbs) and Fabs showed how residues at these positions regulate NAb sensitivity by perturbing global conformation dynamics of the HCV envelope glycoproteins, involving a theoretical neutralization-sensitive “open” state of E1/E2, favored at high temperatures, and a neutralization-resistant “closed” state of E1/E2, favored at low temperatures, of which the stability of the latter depended on the presence of HVR1. Furthermore, performing virus neutralization and entry blocking assays, we observed perfect correlation between increased SR-BI entry dependency and decreased propensity to interact with CD81, suggesting that HCV can continuously modulate NAb sensitivity at the expense of a more complicated entry pathway. Last, we demonstrated that the conformational space of AS412 was skewed toward  $\beta$ -hairpin-like conformations in the closed E1/E2 state, linking the local structural flexibility of AS412 to global E1/E2 conformational states and NAb evasion. Thus, our study provides mechanistic insights into

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**Fig. 1. NAb sensitivity of HCV is regulated by polymorphisms within positions 400 to 404 in HVR1.** (A) Alignment of the N-terminal part of the E2 protein against genotype 1a H77 reference genome (GenBank accession no. AF009606) for HCV isolates of genotypes 1 to 4 (Mega 7), identical with the H77C genome used in the present study. Dots indicate homology with H77. (B to D) Neutralization by the human monoclonal NAb AR3A (B and D), AR4A (B to D), and AR5A (B and D) of the indicated HCVcc recombinants. The data were analyzed using three-parameter dose-response regression to calculate median inhibitory concentration (IC<sub>50</sub>) values and 95% confidence intervals (GraphPad Prism 8.0.0). \*HCVcc recombinants with the same combinations of TN polymorphisms (TN\_400–404 used in subsequent figures). TM, transmembrane domain; Stem, a stalk-like structure that connects the rest of the E2 ectodomain with the TM.

dynamic E1/E2 features that regulate broad NAb sensitivity and entry and into AS412 functionality with important implications for targeting AS412 epitopes in rational vaccine design.

## RESULTS

### NAb protection conferred by diverse HVR1s was mainly determined by its C-terminal polymorphic heterogeneity

Using HCVcc with full-length wild-type E1/E2 sequence (FL) of genotype 1a isolate H77 (H77-FL) (20), we generated chimeric viruses with HVR1 from isolates of genotypes 1 to 6 (21–26). We found that HVR1 from other HCV isolates had divergent effects on infectivity in Huh7.5 cells (fig. S1, A and B). To examine the effect of HVR1 from different isolates on H77 NAb resistance, we generated envelope protein sequence-confirmed first-passage virus stocks of H77-FL and viable HCVcc with HVR1 from genotype 1 to 4 isolates (Fig. 1A). Testing neutralization sensitivity against the human mAbs AR3A, AR4A, and AR5A (27, 28), we observed that HVR1 from TN (genotype 1a), J6 (genotype 2a), S52 (genotype 3a), and

ED43 (genotype 4a) decreased neutralization sensitivity at statistically significant levels (Fig. 1B). The magnitude of these differences varied greatly across the tested epitopes, suggesting the presence of epitope-specific levels of HVR1-mediated protection.

Next, we investigated which part of HVR1 was involved in the observed differences in HVR1-mediated NAb protection. TN-HVR1 in the H77 backbone increased AR4A resistance ~50-fold and differed from H77-HVR1 at 7 of 27 amino acid positions. Thus, we introduced each of the seven TN polymorphisms into H77-FL. Performing AR4A neutralization, we found that F403 or S404 significantly increased resistance, in addition to a minor but significant increase by Y386 (Fig. 1C). Furthermore, we found that A400 and S401 had an effect when present in combination and that the introduction of A400, S401, F403, and S404 into H77-FL (H77<sub>TN\_400-404</sub>) reproduced the neutralization-resistant phenotype of H77<sub>TN\_HVR1</sub> (Fig. 1C). The effect of heterogeneity within positions 400 to 404 was confirmed by testing each of the seven H77 polymorphisms in H77<sub>TN\_HVR1</sub> (fig. S1C).

To test whether this C-terminal part of HVR1 was generally responsible for modulating NAb resistance of HCV, we introduced

positions 400 to 405 from S52 and ED43 into H77-FL (S52 and ED43 differed from H77 at the additional position 405; Fig. 1A). Testing sensitivity against the NABs AR3A, AR4A, and AR5A, we observed that the full-length HVR1 sequence from TN, S52, and ED43 conferred similar levels of neutralization resistance compared with TN-HVR1<sub>400–404</sub>, S52-HVR1<sub>400–405</sub>, and ED43-HVR1<sub>400–405</sub>, respectively (Fig. 1D). Thus, our data indicated that HVR1 positions 400, 402, 403, and 404, with a possible role of position 405, were the primary HVR1 determinants of broad NAB protection.

### Broad neutralization sensitivity of HCV was determined by the E2 N-terminal front layer and HVR1

We tested the effects of swapping HVR1 between HCVcc with E1/E2 sequence from other HCV isolates. Thus, we generated all possible reciprocal HVR1 swaps between H77, TN, and S52 HCVcc. Using AR3A and AR4A, we found that, in all tested E1/E2 backgrounds, H77-HVR1 conferred relatively lower NAB protection compared with TN-HVR1 and especially S52-HVR1, showing that the relative contributions of a specific HVR1 were not E1/E2 background specific (Fig. 2A). However, using one-way analysis of variance (ANOVA), we found that the absolute level of NAB protection conferred by either H77-, TN-, and S52-HVR1 was significantly different between the different E1/E2 backgrounds and that the H77 background was intrinsically more resistant than TN and S52 (fig. S2A). To address which part of E1 or E2 contributed to NAB sensitivity differences, we generated envelope chimeras of H77 and TN in which E1, E2 downstream of HVR1 (residues 411 to 746), or the N-terminal part of E2 downstream of HVR1 (the front layer, spanning residues 411 to 461) from TN was introduced into H77<sub>TN\_HVR1</sub>. Testing sensitivity against AR3A and AR4A, we found the determinants of protection to be located within position 411 to 461 of E2 (fig. S2B). Next, we tested the effect of introducing each of the six TN polymorphisms of this region into E2 of H77<sub>TN\_HVR1</sub>. We found that H77<sub>TN\_HVR1/P453S</sub> significantly decreased resistance against both AR3A and AR4A compared to H77<sub>TN\_HVR1</sub>, whereas H77<sub>TN\_HVR1/I414V</sub> decreased AR4A resistance and H77<sub>TN\_HVR1/E431D</sub> decreased AR3A resistance (Fig. 2B and fig. S2C). In combination, V414, D431, and S453 were sufficient to eliminate NAB sensitivity differences between H77 and TN E1/E2 backgrounds (Fig. 2B and fig. S2C). This phenomenon was HVR1 dependent as the introduction of V414, D431, and S453 into H77<sub>ΔHVR1</sub> had no impact on neutralization sensitivity to AR3A and AR4A (Fig. 2B and fig. S2D).

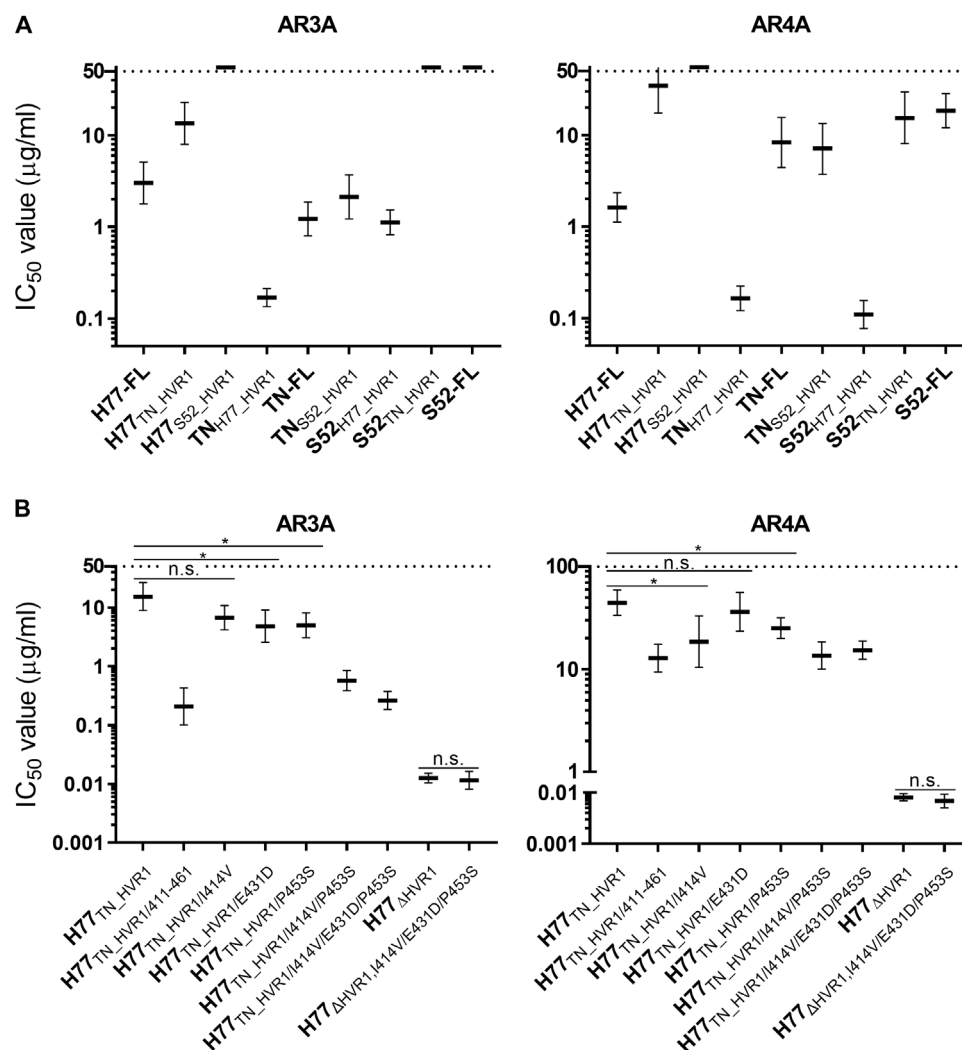
### Envelope protein polymorphisms alter NAB sensitivity by affecting global envelope conformation dynamics

We generated H77 HCVcc with the identified NAB-sensitizing TN polymorphisms at positions 414, 431, and 453 introduced into H77-FL (H77<sub>TN\_414/431/453</sub>) or in combination with the protective HVR1 TN polymorphisms at positions 400, 401, 403, and 404 (H77<sub>TN-comb</sub>). Testing H77-FL, H77<sub>TN\_400–404</sub>, H77<sub>TN\_414/431/453</sub>, and H77<sub>TN-comb</sub> against a wide range of monoclonal NABs, we observed epitope-specific antibody sensitivity differences of 70- to 2000-fold comparing the most sensitive virus (H77<sub>TN\_414/431/453</sub>) with the most resistant virus (H77<sub>TN\_400–404</sub>) (Fig. 3A). The large differences in broadly neutralizing antibody (bNAB) sensitivity of these combinations of polymorphisms simplified further analyses, and these observations led us to examine whether a mechanism involving perturbation of global conformation dynamics of the HCV envelope glycoproteins could be involved. We hypothesized the existence of theoretical open states

of E1/E2 (characterized by increased neutralization sensitivity due to broad exposure of conserved NAB epitopes), and theoretical closed states of E1/E2 (characterized by neutralization resistance as these epitopes are less exposed). Such open/closed state dynamics have been described using x-ray scattering of proteins in solution (29) as well as for virus-associated envelope proteins for flaviviruses, including West Nile virus and dengue virus (30). It is clear from these studies that increased temperature favor open protein states. Thus, we assessed AR3A and AR4A sensitivity of H77-FL, H77<sub>TN\_400–404</sub>, H77<sub>TN\_414/431/453</sub>, and H77<sub>TN-comb</sub> at 4°, 37°, and 40°C (Fig. 3B). Temperature-dependent increases in virus sensitivity were observed for both NABs. In contrast, this was not the case for H77<sub>ΔHVR1</sub> and H77<sub>ΔHVR1,TN\_414/431/453</sub>. For the most sensitive virus retaining HVR1, H77<sub>TN\_414/431/453</sub>, the median inhibitory concentration (IC<sub>50</sub>) values at the higher temperatures grouped close to what was observed for H77<sub>ΔHVR1</sub>, suggesting that HVR1-deleted viruses represented a limit in these assays and supports our hypothesis that the E1/E2 dynamics was intrinsically skewed toward open, neutralization-sensitive, states for virus without HVR1.

Next, we produced H77-FL, H77<sub>TN\_400–404</sub>, H77<sub>TN\_414/431/453</sub>, and H77<sub>TN-comb</sub> soluble E2 ectodomains (sE2, amino acids 384 to 645) and performed AR3A-binding enzyme-linked immunosorbent assays (ELISA), which showed that polymorphisms outside of HVR1 did influence AR3A binding, although to a lesser extent than the same polymorphisms in E1/E2 on virus particles (10-fold effect versus 100-fold effect; Fig. 3, A and C). Polymorphisms outside of HVR1 did not significantly influence binding of sE2 with a different E2-specific bNAB, HC84.26 (fig. S3C), possibly reflecting that the effect of these polymorphisms on virus-associated E1/E2 binding was much smaller for HC84.26 than for AR3A (Fig. 3A). Polymorphisms in HVR1 affected neither AR3A nor HC84.26 binding, indicating that HVR1 function was not fully recapitulated on sE2 (Fig. 3C and fig. S3C).

These discrepancies and the fact that published E2 structures lack the complete HVR1-AS412 sequence and disagree on AS412 orientation indicate that critical components of HVR1-AS412 interactions cannot be studied on sE2 alone. Conversely, amino acid positions 431 and 453 did regulate sE2 AR3A binding and reside well within pedigreed parts of multiple published E2 structures (11–14). Thus, we assessed their effects by performing molecular dynamics (MD) simulations of a truncated E2 core (residues 421 to 647; Fig. 1A) of H77 (H77-E2c) and H77<sub>TN\_431/453</sub> (H77-E2c<sub>TN\_431/453</sub>), which showed differences in front layer flexibility (Fig. 3D and fig. S3D) and a different exploration of the conformational space. Performing principal components analysis (PCA) followed by Gibbs free energy landscape (FEL) calculations (31) on both MD trajectories, we observed that H77-E2c mainly explored two conformations, conf. #1 and conf. #2, while H77-E2c<sub>TN\_431/453</sub> mainly explored one conformation, superimposable on H77-E2c conf. #2 (Fig. 3E and fig. S3E). N-terminal protein orientation differed between H77-E2c<sub>TN\_431/453</sub> and H77-E2c conf. #1, supporting a direct role in controlling the motions of the HVR1-AS412 regions immediately upstream. We also ran MD simulations and calculated the front layer flexibility and dynamics of TN-E2c and S52-E2c (Fig. 3F and fig. S3, D and E). Both mainly visited conformations highly similar to H77-E2c conf. #2 and H77-E2c<sub>TN\_431/453</sub>, which fits with well with our observations that for HVR1-swapped viruses sharing the same HVR1, the TN and S52 E1/E2 backgrounds were intrinsically less resistant than H77 (fig. S2A). Last, by calculating the solvent-accessible



**Fig. 2. Global NAb sensitivity of HCV is regulated by polymorphisms both within and outside of HVR1.** (A and B) Neutralization by the human monoclonal Nabs AR3A and AR4A of HVR1-swapped H77-, TN-, and S52-based recombinants (A) or H77-based recombinants with TN polymorphisms (B). The data were analyzed as described in Fig. 1B. Differences between  $IC_{50}$  values were compared in two-tailed  $t$  tests (GraphPad Prism 8.0.0) with Welch's correction. Testing was done at the 95% confidence level and corrected for multiple testing by adjusting the  $P$  value accordingly (tested  $P$  values, 0.017). \*Neutralization sensitivity was statistically significantly different. n.s., neutralization sensitivity was not statistically significantly different.

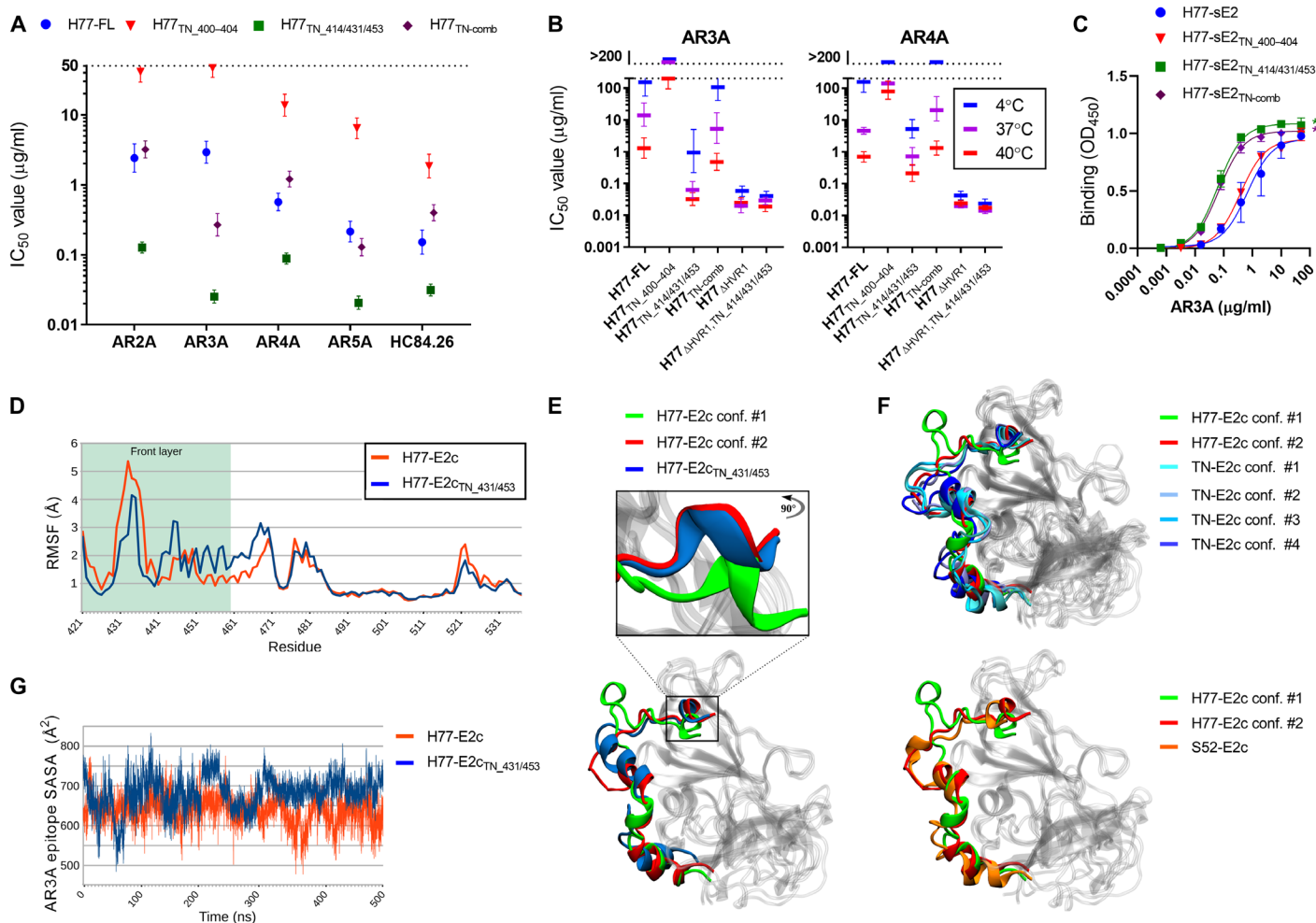
surface area (SASA) of AR3A epitopic residues (residues 427, 429, 431, 438 to 439, 442 to 443, 529, and 531) from MD simulations, we found that the AR3A epitope was more exposed for H77-E2c<sub>TN\_431/453</sub> than H77-E2c, confirming the AR3A ELISA data (Fig. 3G). Together, this provides an interesting hypothesis in which E2 front layer dynamics play a central role in open/closed E1/E2 protein dynamics.

#### NAb resistance of HCV conferred increased dependency on SR-BI to mediate critical CD81 interactions

We next examined whether the identified conformation dynamics of the HCV envelope described in this study were linked to viral entry. Thus, to test the effects on HCV entry receptor dependency, we performed dose-response blocking of H77-FL, H77<sub>TN\_400-404</sub>, H77<sub>TN\_414/431/453</sub>, and H77<sub>TN-comb</sub> entry using antibodies against HCV co-receptors CD81, low density lipoprotein receptor (LDLR), and SR-BI. While all viruses depended equally on CD81 and LDLR (Fig. 4, A and B), NAb resistance appeared to be linked with increased

SR-BI entry dependency, with the resistant H77<sub>TN\_400-404</sub> being most dependent (Fig. 4C). Performing pre- and postattachment receptor blocking of CD81 and SR-BI, we observed no role of either receptor in cell attachment (Fig. 4D). Given the evidence supporting a sequential interaction of HCV with SR-BI followed by CD81 (2), we wanted to examine the propensity of E1/E2 that favored open or closed states to interact with CD81. Thus, we performed virus neutralization of these same viruses using soluble CD81 large extracellular loop (sCD81-LEL; Fig. 4E). Plotting the correlation between SR-BI dependency and sensitivity to neutralization with sCD81-LEL, we observed near-perfect correlation ( $R^2 = 0.98$ ), supporting a role of SR-BI in mediating critical CD81 interactions of NAb-resistant HCV for which E1/E2 favor closed states (Fig. 4F). However, we found no significant effect of the TN polymorphisms on sCD81-LEL binding of sE2 in ELISA (fig. S4A), once again highlighting that the effects of these polymorphisms on protein dynamics are not fully recapitulated on sE2. Performing correlation plots of SR-BI entry dependency and





**Fig. 3. Protective envelope polymorphisms within positions 400 to 404 in HVR1 or outside HVR1 at positions 414, 431, and 453 influence global E1/E2 conformation dynamics.** (A) Neutralization using the indicated NAb of H77 HCVcc recombinants. (B) Neutralization of H77 HCVcc recombinants performed at 4°, 37°, or 40°C. Data were analyzed as described in Fig. 1, except that four-parameter dose-response regression was used in (B). H77<sub>TN\_400-404</sub> and H77<sub>TN-comb</sub> harbored N391S, which increased viral infectivity without affecting neutralization (fig. S3, A and B). (C) sE2 binding to AR3A (positions 384 to 645; see Fig. 1A) in ELISA. Values are means of duplicates ± SD. OD<sub>450</sub>, optical density at 450 nm. (D) Peptide backbone residue flexibility [root mean square fluctuation (RMSF)] was calculated for all α carbons along 500-ns MD simulations of H77-E2c and H77-E2c<sub>TN\_431/453</sub> (positions 421 to 647); residues 421 to 535 are depicted (full RMSF plots; see fig. S3D). E2 front layer (positions 421 to 461) highlighted in color. (E and F) The two most visited conformations of H77-E2c compared to the main conformation of H77-E2c<sub>TN\_431/453</sub> (E), TN-E2c (F), or S52-E2c (F), all identified by PCA and FEL calculation on the first two principal PCs (see fig. S3E). (G) Exposure of the AR3A epitope in H77-E2c and H77<sub>TN\_431/453</sub>-E2c during 500-ns MD simulation by computing the SASA of AR3A epitope residues.

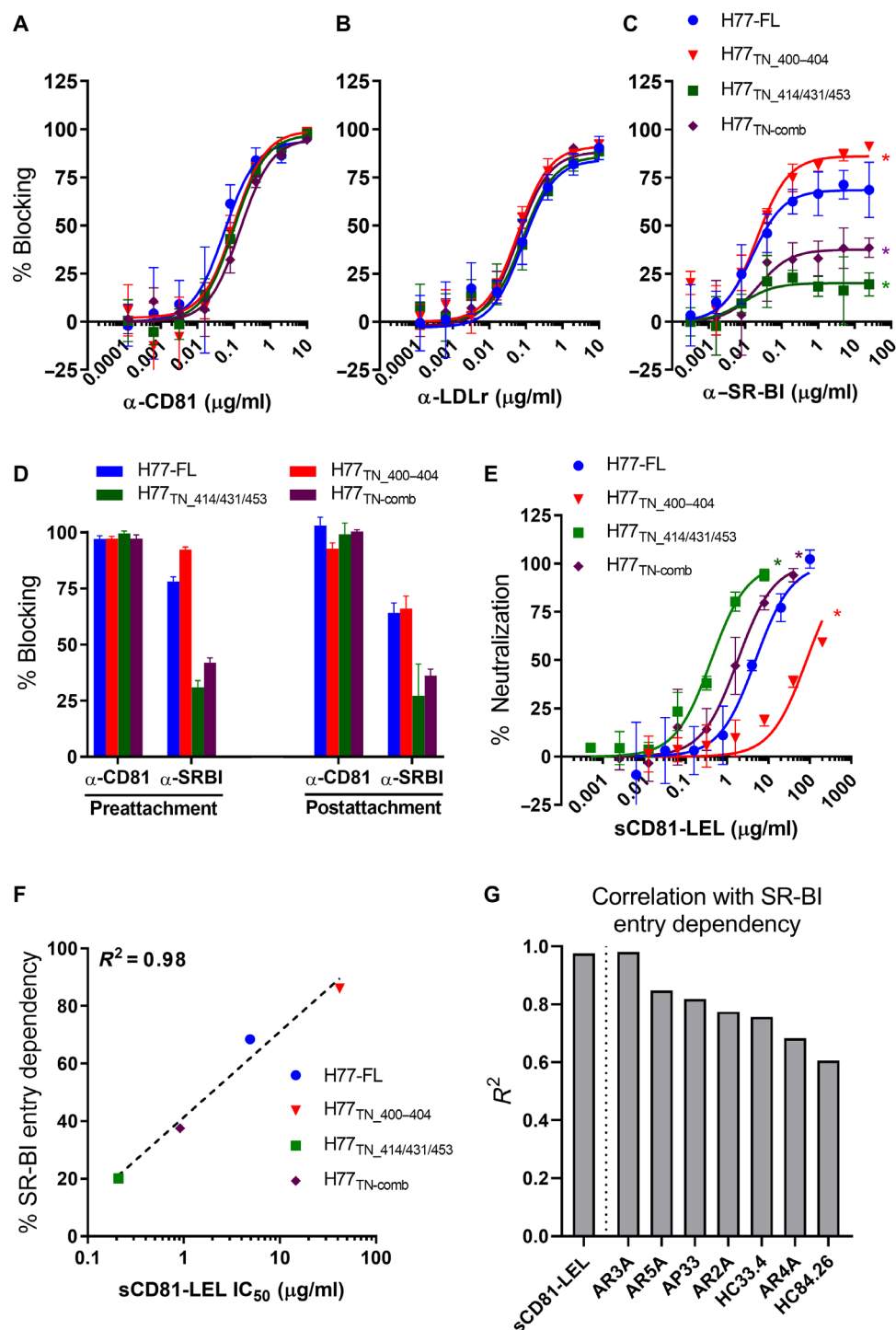
virus resistance to NAb showed consistently strong correlations ( $R^2 = 0.61$  to  $0.98$ ; Fig. 4G and fig. S4B) that were, with the exception of AR3A, inferior to sCD81-LEL. Thus, decreased propensity of HCV to interact with CD81-LEL correlated with NAb resistance and correlated perfectly with SR-BI entry dependency. This correlation indicated a direct role of SR-BI in inducing a conformational change in E1/E2 to an open state poised for CD81 engagement during viral entry.

### The conformational space of AS412 is skewed toward β-hairpin-like conformations in closed HCV E1/E2 states

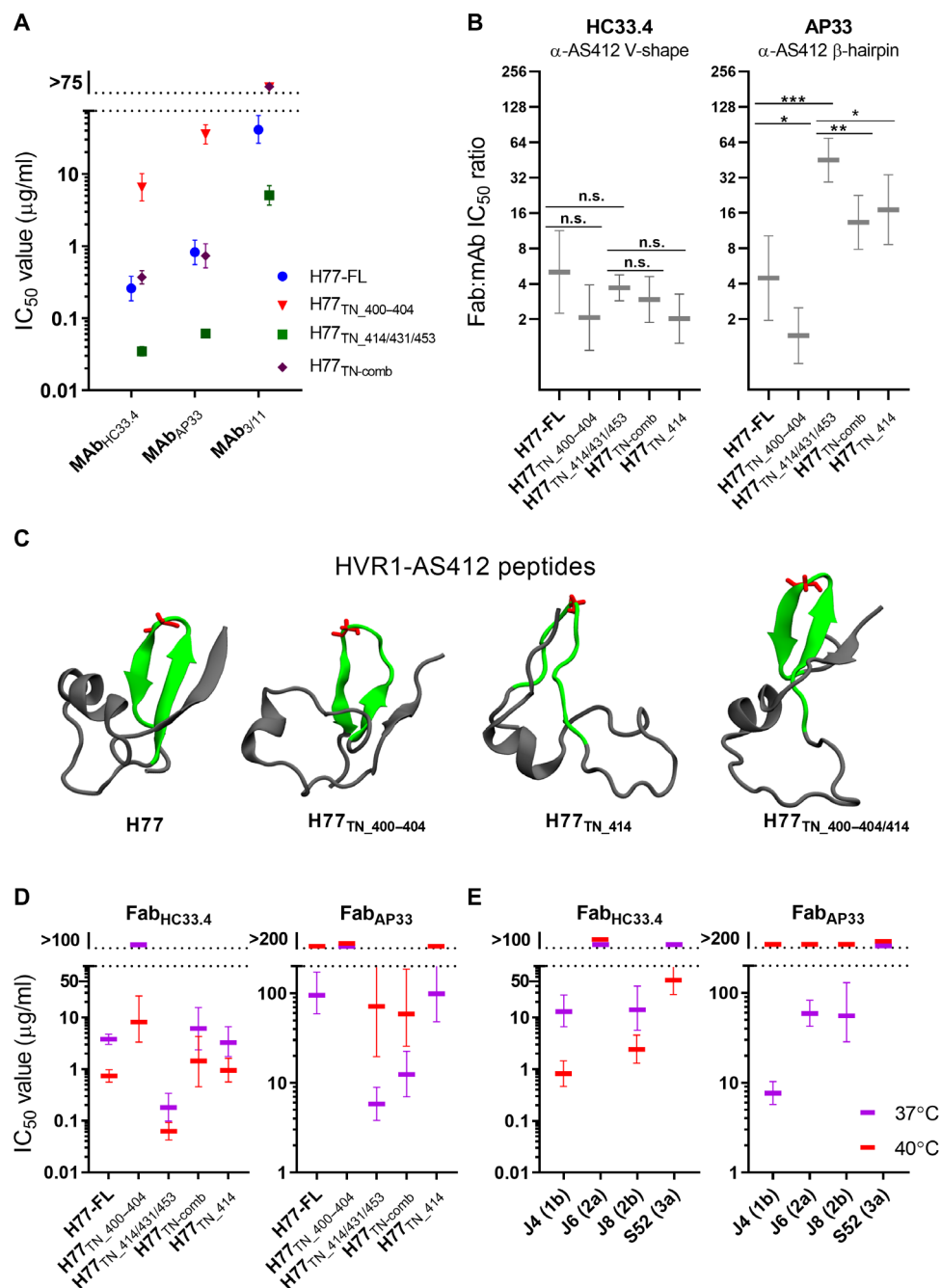
Given that HVR1 served a critical role in stabilizing closed neutralization-resistant E1/E2 states, we hypothesized that the local conformation of AS412, immediately downstream of HVR1 (Fig. 1A), would influence this. The mAbs HC33.4, AP33, and 3/11 recognize a V-shaped, a β-hairpin, and an extended conformation of AS412, respectively

(10). However, performing neutralization assays with the mAbs 3/11, HC33.4, and AP33 against H77-FL, H77<sub>TN\_400-404</sub>, H77<sub>TN\_414/431/453</sub>, and H77<sub>TN-comb</sub> (Fig. 5A), as well as the AS412 mutant virus H77<sub>TN\_414</sub> (fig. S5A), we reproduced the open/closed pattern observed for all other NAb (Fig. 5A versus Fig. 3A). We speculated that local differences in the AS412 conformational space between open and closed E1/E2 states might be concealed by the inherent differences in global epitope availability. We therefore performed neutralization using Fab<sub>3/11</sub>, Fab<sub>HC33.4</sub>, and Fab<sub>AP33</sub>, which, because of their smaller size, might better penetrate closed E1/E2 states (fig. S5B). As previously described (19), mAb<sub>3/11</sub> and Fab<sub>3/11</sub> were poor neutralizers and were excluded from further analysis (Fig. 5A and fig. S5, A and B).

Calculating Fab:mAb IC<sub>50</sub> molar ratios for HC33.4 (Fig. 5B), we found no significant differences between the H77 recombinants. However, the AP33 IC<sub>50</sub> ratio of the most NAb-resistant recombinant



**Fig. 4. Polymorphisms that protect HCV from NAb also increase the need for SR-BI to mediate subsequent CD81 interactions.** (A to C) Receptor blocking of the entry of indicated HCV recombinants using a dilution series of antibody against CD81 (A), LDLr (B), and SR-BI (C). (D) Receptor blocking of the entry of indicated recombinants pre- and postviral attachment. (E) Neutralization of the indicated H77 recombinants with a dilution series of sCD81-LEL. (F) IC<sub>50</sub> values against sCD81-LEL for the indicated H77 recombinants plotted against SR-BI entry dependency calculated as outlined in (C) (Bmax). The data were analyzed as described in Fig. 1 to estimate maximum attainable effect, Bmax (C), or to calculate IC<sub>50</sub> values (E). (G)  $R^2$  values from IC<sub>50</sub> values for the indicated monoclonal NAb against H77 recombinants from (A) to (F) plotted against their corresponding SR-BI entry dependency calculated as outlined in (C) (Bmax) (see fig. S4B for correlation plots). All error bars represent SD. \*Receptor blocking or neutralization sensitivity of the HCV recombinant was statistically significantly different from H77-FL.



**Fig. 5. The conformational space of AS412 is skewed toward β-hairpin-like conformations in closed HCV E1/E2 states.** (A) Neutralization using the mAb 3/11, HC33.4, or AP33 of indicated H77 HCVcc recombinants. Data were analyzed as described in Fig. 1. (B) Ratio of Fab:mAb neutralization IC<sub>50</sub> values for HC33.4 and AP33 of the indicated H77 recombinants [values calculated from fig. S5 (A and B) dose-response data]. The ratios were compared in two-tailed *t* tests (GraphPad Prism 8.0.0) with Welch's correction. Testing was done at the 95% confidence level (\**P* < 0.05, \*\**P* < 0.01, and \*\*\**P* < 0.001), and the *P* value was adjusted for multiple testing (\**P* < 0.025, \*\**P* < 0.005, and \*\*\**P* < 0.0005). (C) Ribbon structures of the most-visited conformations of HVR1-AS412 systems (residues 384 to 426) based on PCA performed on backbone atoms from MD simulations followed by FEL calculations. AS412 is highlighted in green. Residue G418, at the AS412 β-hairpin apex, is highlighted in red. (D and E) Neutralization by HC33.4 and AP33 Fabs against the indicated H77 HCVcc recombinants (D) or HCVcc recombinants with E1/E2 of J4, J6, J8, S52 (E) at 37°C or 40°C. Data were analyzed as described in Fig. 1, except using four-parameter dose-response regression (see fig. S6 for dose-response curves).

(H77<sub>TN\_400-404</sub>) was significantly lower than for H77-FL (3.1-fold lower), whereas the opposite was true for the most sensitive recombinant (H77<sub>TN\_414/431/453</sub>; 10-fold higher). Thus, β-hairpin-like conformations were more prevalent in closed E1/E2 states (a relative increase in Fab versus mAb potency) and less prevalent in open states (a rela-

tive decrease in Fab versus mAb potency). In addition, H77<sub>TN-comb</sub> and H77<sub>TN\_414</sub> both had significantly lower Fab:mAb AP33 IC<sub>50</sub> ratios than H77<sub>TN\_414/431/453</sub> (3.4-fold and 2.6-fold, respectively), supporting that all polymorphisms causing global NAb resistance, irrespective of whether they were found in HVR1, AS412, or the E2



front layer, shifted the AS412 conformational space toward  $\beta$ -hairpin-like conformations.

As HVR1-AS412 function could not be recapitulated on sE2 experimental structures, we tested differences in AS412 exploration of the conformational space by performing HVR1-AS412 peptide (amino acids 384 to 426) structural reconstruction and MD simulations of H77-FL, H77<sub>TN\_400-404</sub>, H77<sub>TN\_414</sub>, and H77<sub>TN\_400-404/414</sub>, representing different NAb sensitivity phenotypes. Peptides of H77-FL and the NAb-resistant H77<sub>TN\_400-404</sub> stably showed AS412 in a  $\beta$ -hairpin conformation, involved in a  $\beta$ -sheet with the N-terminal residues of HVR1 (Fig. 5C). For H77<sub>TN\_414</sub>, which corresponded to a more NAb-sensitive phenotype than H77-FL, the AS412  $\beta$ -hairpin conformation was destabilized, but it was restored in H77<sub>TN\_400-404/414</sub>, supporting that positions 400 to 404 influenced AS412 conformation by counterbalancing the  $\beta$ -hairpin disruptive effect of I414V. Using the most prevalent structure as a starting point, we performed high-temperature unfolding experiments for each peptide. This showed that H77<sub>TN\_400-404</sub>, which corresponded to the most NAb-resistant phenotype, had a more stable AS412  $\beta$ -hairpin conformation (fig. S5C).

To further validate that the AS412 conformational space was skewed toward  $\beta$ -hairpin-like conformations in closed E1/E2 states, we performed neutralization of H77-FL, H77<sub>TN\_400-404</sub>, H77<sub>TN\_414/431/453</sub>, H77<sub>TN\_comb</sub>, and H77<sub>TN\_414</sub> using Fab<sub>HC33.4</sub> and Fab<sub>AP33</sub> at 37° and 40°C. For Fab<sub>HC33.4</sub>, we observed increased sensitivity at 40°C (Fig. 5D and fig. S6), which agreed with our findings using AR3A and AR4A NABs (Fig. 3B). However, as predicted, we observed the opposite for Fab<sub>AP33</sub>, which was more potent at 37°C than at 40°C. This was also observed for HCVcc recombinants with E1/E2 of isolates J4 (genotype 1b), J6 (2a), J8 (2b), and S52 (3a) (Fig. 5E and fig. S6), demonstrating that this effect was not limited to H77. Together, our results strongly indicated that the AS412 conformational space was skewed toward  $\beta$ -hairpin-like conformations in closed E1/E2 states.

## DISCUSSION

Understanding the recognized ability of HCV to evade NABs is of paramount importance for any attempts at rational vaccine development. This study shows how E2 polymorphisms encompassing positions 400 to 404 in HVR1 and positions 414, 431, and 453 in the E2 front layer have a concerted role in regulating broad NAB sensitivity through perturbation of global conformation dynamics of E1/E2 between theoretical closed and open states. Closed E1/E2 states are shown to depend on HVR1, explaining why HVR1 deletion results in broad increases in NAB sensitivity (6), and to confer an increased need for SR-BI to prime subsequent CD81 interactions during entry. We uncover a link between global closed E1/E2 states and  $\beta$ -hairpin-like conformations of AS412 providing a previously undescribed role of this flexible region in HCV NAB evasion, with important implications for targeting this highly conserved and linear E2 epitope in rational vaccine design.

During chronic infection, HCV accumulates mutations in the C terminus of HVR1 (32). This variation is believed to be mainly due to positive selection from HVR1-specific antibodies (9). However, we show that polymorphisms within positions 400 to 404 of HVR1 broadly regulate NAB sensitivity with epitope-level differences, suggesting that mutations may accumulate here to protect the virus from NABs targeting non-HVR1 epitopes. We also found protective polymorphisms at positions 414, 431, and 453 outside of HVR1, which

have a larger effect on AR3A sensitivity than AR4A sensitivity. Thus, our data indicate that HCV can fine-tune broad HCV neutralization sensitivity at the epitope level. Protective polymorphisms at 414, 431, and 453 all overlap with resistance-associated envelope polymorphisms (RAPs) identified by analyzing correlates of NAB protection across a large collection of genotype 1 patient viruses (3, 33), further validating the importance of these positions in determining HCV isolate differences in NAB sensitivity and indicating broad applicability of our mechanistic findings.

The broad effects on NAB sensitivity of positions in HVR1 and the E2 front layer seemed analogous to the broad effects of deleting HVR1 (6) or genetically abolishing selected E2 glycosylation sites (7), which suggested that global dynamic rearrangements of E1/E2 could be determining HCV isolate differences in NAB sensitivity. Performing temperature-dependent neutralization, we found that protective polymorphisms did indeed regulate broad NAB sensitivity by shifting global E1/E2 conformations from open (neutralization-sensitive) to closed (neutralization-resistant) states. We confirmed our prior findings that closed states were completely destabilized by the deletion of HVR1. This combines NAB evasion by HVR1, N-linked glycans, and RAPs at a mechanistic level while offering an attractive explanation for the breadth in increased NAB sensitivity of HVR1-deleted viruses (6). MD simulations on HCV E2c structures suggested that the polymorphic sites 431 and 453 altered the balance between open and closed states by affecting E2 front layer dynamics and suggested that these effects would also alter HVR1-AS412 positioning. This *in silico* verification affirmed our experimental data. By linking sequence variability of E2 to global E1/E2 conformational state dynamics, these findings have important implications for our understanding of HCV NAB evasion and suggest that successful HCV vaccine development may depend on investigating the theoretical open and closed states of E1/E2 at a structural level.

To address why HCV would not evolve to predominantly adopt maximally closed and thus NAB-resistant E1/E2 states, we tested whether such a state might confer entry disadvantages. We observed strong correlations between NAB resistance and SR-BI dependency as also described before (33). A previous study on this phenomenon used sE2 to study SR-BI binding, which was higher in the presence of antibody when sE2s was derived from a NAB-resistant envelope sequence (33). However, sE2 does not fully recapitulate E1/E2 dynamics on infectious particles as observed in this study and by others (34). In addition, SR-BI is most likely not a central attachment factor, which is primarily mediated by glycosaminoglycans (GAGs) (2), making it difficult to interpret how increased SR-BI binding might affect HCV entry. Our data confirm that SR-BI interaction occurs at a postattachment step, and the near-perfect correlation between SR-BI dependency and sCD81-LEL neutralization sensitivity suggests that SR-BI aids NAB-resistant HCV engage CD81, perhaps by mediating closed to open E1/E2 transitions. This previously undescribed role of SR-BI in HCV entry adds mechanistic context to a recent study in which a mathematical model of HCV entry kinetics was developed, suggesting that HCV interactions with CD81 can occur via two routes, either directly or with prior engagement of SR-BI (35). This has important implications for our understanding of HCV NAB evasion and provides a mechanism for the increased dependency on SR-BI of naturally NAB-resistant HCV isolates.

The importance of HVR1 in stabilizing closed E1/E2 states made us consider whether conformation of the highly flexible downstream region, AS412, would be associated with specific states. Thus, we

devised an approach using mAbs and Fabs targeting AS412 with similar conformational specificities and found that protective polymorphisms increased sensitivity to the smaller (e.g., better at penetrating closed E1/E2 states) Fab<sub>AP33</sub> relative to the larger (e.g., poorer penetration) mAb<sub>AP33</sub>. Thus, the conformational space of AS412 appeared to be skewed toward  $\beta$ -hairpin-like conformations (AP33 binds to AS412 in a  $\beta$ -hairpin) in closed E1/E2 states. As protective polymorphisms have been described at different positions in other isolates (3, 33), it is possible that they stabilize AS412  $\beta$ -hairpin conformation in a similar fashion. This was supported further by the finding that shifting the E1/E2 conformations toward open states, by increasing the temperature, consistently decreased Fab<sub>AP33</sub> neutralization sensitivity (the opposite effect of what was observed for all tested NAb and Fab<sub>HC33.4</sub>). While it is not useful to probe HVR1-AS412 behavior on sE2, we instead investigated purely local effects of relevant polymorphisms by performing MD simulations of HVR1-AS412 peptides. We observed that protective polymorphisms at positions 400 to 404 and 414 had a stabilizing effect on AS412  $\beta$ -hairpin conformation, while sensitizing polymorphisms at the same positions caused its disruption. Intriguingly, the results suggested a direct  $\beta$ -sheet interaction between the C terminus of HVR1 and AS412, which would be of interest for future studies. Our findings match well with a recent report that HCV escape mutants, which developed epitope-specific resistance against mAbs targeting the  $\beta$ -hairpin conformation of AS412, had increased sensitivity to bNAbs targeting other epitopes (36). By linking the local structural flexibility of AS412 to global E1/E2 conformational state, we show that AS412 flexibility is linked directly to broad HCV NAb evasion function.

AS412 is a highly conserved neutralization epitope (10), and the fact that it is linear makes it directly amenable to antigen mimicry for rational vaccine development (15–17). This allows for the presentation of AS412 without undesirable off-targets, which can help limit the induction of non-neutralizing or interfering antibodies. NAb that recognize the AS412  $\beta$ -hairpin conformation have shown efficacy *in vivo* (18, 37). However, these antibodies have a low barrier to resistance (36), which is why it has been suggested to instead target the intermediate V-shaped AS412 conformation, which is recognized by potent members of the HC33 group of NAb, for which escape has not been reported (38). However, our study indicates that AS412 diversity is not merely a viral mechanism for avoiding immune recognition, but rather that diverse AS412 conformations are functionally distinct with important roles in regulating the global E1/E2 conformational state and thus also broad viral NAb sensitivity. This has important implications for rational vaccine design such as suggesting that AS412 antigen mimicry should not be focused on a single conformation but rather aim at including all function-relevant AS412 conformations, most notably the  $\beta$ -hairpin and the V-shaped, to obtain broad high-level protection.

In conclusion, our study shows that broad differences in NAb sensitivity between HCV isolates are mediated indirectly by protective polymorphisms within and outside HVR1 by modulation of epitope accessibility through shifting E1/E2 conformations between open (neutralization-sensitive) and closed (neutralization-resistant) states. The variation in this effect across NAb epitopes provides an alternative rationale for the extraordinary sequence diversity of HVR1, other than direct immune escape, by supporting that positions 400 to 404 within HVR1 accumulate substitutions to fine-tune accessibility of non-HVR1 epitopes as they become targeted by the host

immune system. The effects of these polymorphisms on protein dynamics are not fully recapitulated on sE2, which is likely due to the importance of unknown interactions within the E1/E2 heterodimer and potentially even on interactions with nonenvelope moieties, such as apolipoprotein E (ApoE) (39). Inherently NAb resistant HCV has an increased dependency on SR-BI. Our data suggest that this SR-BI interaction mediates E1/E2 transitions from closed to open, poised for CD81 engagement. The link between local AS412 conformation and global E1/E2 state has important implications for targeting AS412 in rational vaccine designs, and the implied influence of AS412 conformation on E1/E2 structural dynamics suggests a weakness in HCV NAb evasion that could be exploited in the design of new antivirals. Specifically, compounds that prevent AS412 from adopting  $\beta$ -hairpin-like conformations could stabilize an open E1/E2 state, similar to how Winthrop compounds prevent structural transitions in picornaviruses (40). Overall, our study represents key advancements in our understanding of HCV NAb evasion in viral persistence with important implications for rational vaccine design.

## MATERIALS AND METHODS

### Cell lines

Huh7.5 cells were grown in Dulbecco's modified Eagle's medium (DMEM) (Gibco/Invitrogen Corporation, Carlsbad, CA) supplemented with 10% of heat-inactivated fetal bovine serum, penicillin (100 U/ml), and streptomycin (100  $\mu$ g/ml) (Gibco/Invitrogen Corporation) with 5% CO<sub>2</sub> at 37°C. Cells were split with trypsin-EDTA every 48 to 72 hours.

### Virus isolates

We used previously described HCV recombinants with Core-NS2 of isolates H77C, TN, J4, J6, J8, or S52 and untranslated regions and NS3-NS5B of JFH1 (20–22, 24). HVR1 sequences were of isolates TN, DH1, DH5, J4, J6, S52, ED43, SA13, and HK6a (20, 21, 23, 25). All virus recombinants are referred to in the manuscript by their E1/E2 sequence. We introduced HVR1 sequences and coding mutations into the indicated recombinants by standard molecular cloning techniques (QuikChange). Each plasmid was confirmed by sequencing the entire HCV sequence of the final DNA preparation (Macrogen).

### Source of antibodies and sCD81-LEL

mAbs used for neutralization assays were specific for antigenic regions 2 to 5 (AR2A, AR3A, AR4A, and AR5A), domain D (HC84.26), or AS412 (AP33, HC33.4, and 3/11) with control antibody b6 (27, 28, 34, 41–44). AP33, HC33.4, and 3/11 Fab fragments used in neutralization assays or ELISA were prepared as described (45). sCD81-LEL was also used for neutralization assays (41) and ELISA (R&D Systems, catalog no.9144-CD). For receptor blocking assays, we used anti-CD81 (BD Pharmingen, catalog no.JS81), anti-SR-BI C16-71 (46, 47), or anti-LDLr 3D8 (48) with the control antibodies 553447 for CD81 and antibody D for SR-BI (46) and a previously described in-house produced isotype-matched control for LDLr (48).

### Transfection of Huh7.5 cells

HCV transfections were done as described (47). Briefly, Xba I-linearized plasmids were used to generate HCV RNA transcripts that were transfected into Huh7.5 cells using Lipofectamine 2000. Viral spread and release of infectious particles were monitored every day

in 4-day transfection assays, by immunostaining of transfected cells and analysis of HCV infectivity titers in supernatants. Immunostaining was done with anti-NS5A antibody 9E10 (24) and with secondary anti-mouse Alexa Fluor 488 goat anti-mouse immunoglobulin G (IgG; Invitrogen). Hoechst 33342 dye was used for fluorescent staining of cells. HCV infectivity titrations were performed in triplicate by plating  $7 \times 10^3$  Huh7.5 cells in poly-D-lysine 96-well plates and incubating them for 24 hours. The following day, different dilutions of virus supernatant were incubated 10 min at 37°C in 5% CO<sub>2</sub> before addition to Huh7.5 cells and incubation of 48 hours at 37°C in 5% CO<sub>2</sub>. Fixation and staining of HCV-positive cells and counting of the number of focus-forming units (FFUs) were done as described (22, 49).

### Generation of first-passage virus stocks

First-passage virus stocks of HCVcc recombinants were generated by passaging transfection supernatants onto naïve Huh7.5 cells and culturing these by splitting the cells every 2 to 3 days until the virus spread to >80% of the cells (9 to 20 days). At this time, supernatant was harvested and filtered and the virus analyzed by infectivity titration and sequencing of the envelope-encoding sequence of culture-derived HCV RNA as described (49).

### Expression of soluble E2

A truncated, soluble form of E2 ectodomain (sE2) (amino acids 384 to 645) with a C-terminal His tag, following the 30 C-terminal amino acids of E1 that includes a peptidase cleavage site and ensures efficient protein secretion, was transfected in human embryonic kidney 293 T cells as described (50). Supernatant was collected at 48 and 72 hours, filtered, and concentrated using an Amicon Ultra-15 Centrifugal Filter Unit (10-kDa cutoff). Quantification of the relative concentration in the collected supernatants was done as described (50) and used to normalize the sE2 input in ELISAs.

### Antibody neutralization

A total of  $7 \times 10^3$  Huh7.5 cells were plated per well in poly-D-lysine 96-well plates and incubated for 24 hours with 5% CO<sub>2</sub> at 37°C. The following day, a dilution series of mAb and relevant control antibody were incubated with a volume of virus stock corresponding to a readout of 50 to 200 FFU per well in four replicates of each dilution step. The antibody-virus mixtures were, along with eight replicates of virus only, incubated for 1 hour at 37°C before addition to Huh7.5 cells and incubation for 4 hours at 37°C in 5% CO<sub>2</sub>. Cells were washed, and fresh medium was added before incubation for a total infection time of 48 hours before fixation. Staining and counting of the number of FFUs were done as described (22, 49), with primary antibody 9E10 and secondary antibody ECL Anti-mouse IgG, Horseradish Peroxidase using the 3,3'-diaminobenzidine (DAB) staining kit. The data were normalized to the eight replicates of virus only and analyzed using three or four parameters curve fitting in GraphPad Prism 8.0.0, bottom set to 0, top set to 100, with values reported as means  $\pm$  SD.

### Temperature-dependent neutralization

This assay was done as described above for the antibody neutralization assay with the differences outlined below. We used a threefold higher input of virus per well when we tested effects of temperature on neutralization (to attain a similar number of FFUs per well), with three replicates of each dilution step and six replicates of virus only. Virus/antibody were incubated for 1 hour at either 4°, 37°, or 40°C,

and the antibody-virus mixtures were spinoculated onto the cells at 500 rcf for 2 hours at 4°C. Cells were washed with cold phosphate-buffered saline (PBS) buffer, and prechilled DMEM was added before raising the temperature to 37°C for a total infection time of 48 hours. Fixation, counting, and data analysis were performed as described for antibody neutralization.

### HCV soluble E2 ELISA

Pierce Nickel Coated Plates (Thermo Fisher Scientific) were incubated overnight at 4°C with equivalent amount of sE2. The following day, a dilution series of mAbs or soluble receptor were incubated 1 hour at room temperature in two replicates of each dilution step. Plates were washed and binding was detected with horseradish peroxidase-conjugated anti-human IgG secondary antibody (Thermo Fisher Scientific).

### Receptor blocking

Huh7.5 cells were plated as described above for antibody neutralization. The following day, cells were incubated 1 hour at 37°C with anti-receptor antibodies (46, 47, 51) or respective control antibodies (46, 48). Then, virus was added to the cells in four replicates of each dilution step and eight replicates of virus only followed by 4-hour incubation. Cells were washed, and fresh medium was added before incubation for a total infection time of 48 hours. Fixation, counting, and data analysis were performed as described for antibody neutralization.

### Receptor blocking before and after attachment

Huh7.5 cells were plated as described above for antibody neutralization. The following day, a virus input sixfold higher than described above for receptor blocking was spinoculated onto the cells at 500 rcf for 1 hour at 4°C, with six replicates for each antibody tested and six replicates of virus only. Cells were washed with cold PBS buffer and antireceptor antibodies (46, 47) were added before a second spinoculation at 500 rcf for 1 hour at 4°C. Cells were washed, and fresh medium was added before incubation at 37°C for a total infection time of 48 hours. Fixation, counting, and normalization were performed, as described for antibody neutralization, with values reported as means  $\pm$  SEM.

### Quantification and statistical analysis

The neutralization data were analyzed using three- or four-parameter dose-response curves to calculate IC<sub>50</sub> values and 95% confidence intervals (GraphPad Prism 8.0.0). The receptor blocking data were analyzed using three-parameter dose-response curves to estimate maximum attainable effect, B<sub>max</sub>, which is defined as the percentage blocking of entry that curve-fitting asymptotically approaches. IC<sub>50</sub> and B<sub>max</sub> values for HCV recombinants were compared with the relevant unmodified recombinant (neutralization assay and receptor blocking assay) or with IC<sub>50</sub> values at 37°C (temperature-dependent neutralization assay) in two-tailed *t* tests (GraphPad Prism 8.0.0) with Welch's correction, not assuming equal SDs. Testing was done at the 95% confidence level (\**P* < 0.05, \*\**P* < 0.01, and \*\*\**P* < 0.001) and corrected for multiple testing by adjusting the *P* value accordingly. IC<sub>50</sub> values for HCV recombinants with the same HVR1 sequence were compared using one-way ANOVA.

### Structural modeling and MD simulations

E2 HVR1-AS412 (residues 384 to 426) tested sequences were fed to the RaptorX server for secondary and tertiary structure prediction



(52). Predicted structural features were converted into distance and angle restraints as previously described (53) and applied to each system linear structure using the standard distance geometry/simulated annealing protocol implemented in CNS (Crystallography and NMR System, version 1.3) to obtain HVR1-AS412 initial models (54).

Wild-type H77, S52, and TN and mutant H77<sub>TN\_431/453</sub> E2c (residues 421 to 647) initial structures were reconstructed with MODELLER using the Protein Data Bank structures with ID 6MEI and 6MEJ as templates. AS412 was not included to avoid potential non-native interactions due to the absence of HVR1 in both crystal structures.

Both HVR1-AS412 peptides and E2c structures were simulated in an orthorhombic TIP3P water box, neutralized with the proper counterions, and parametrized using the all-atom AMBER/parm12SB force field (55). All simulations were performed using the GROMACS 5.1.4 code (56). Periodic boundary conditions in the three axes were applied. Covalent bond length, including hydrogen bonds, was set using the LINCS algorithm, allowing a time-integration step of 2 fs. Constant pressure was imposed using the Parrinello-Rahman barostat with a time constant of 2 ps and a reference pressure of 1 bar, while constant temperature was maintained using the modified Berendsen thermostat with a time constant of 0.1 ps. Long-range electrostatic interactions were calculated with the particle mesh Ewald method with a real-space cutoff of 12 Å. Each system was minimized with the steepest descent algorithm, equilibrated for 100 ps in an NVT ensemble followed by 100 ps in an NPT ensemble and then subjected to a 500-ns simulation at constant temperature (300 K). For high-temperature (450 K) simulations, the most visited structure for each system, identified by PCA and FEL calculation on each 500-ns trajectory, was used as the starting point. The temperature was increased in four steps from 300 to 450 K over 1 ns, and then each system was simulated at 450 K for 10 ns.

All analyses, including PCA, FEL, SASA, and root mean square fluctuation calculations, were performed using the tools implemented in GROMACS and VMD (Visual Molecular Dynamics, version 1.9.3). Structure rendering was performed in VMD (57).

## SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <http://advances.sciencemag.org/cgi/content/full/6/35/eabb5938/DC1>

[View/request a protocol for this paper from Bio-protocol.](#)

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